

Basel, 23 September 2021

United Nations Global Compact Communication on Engagement

Our continued support for the UN Global Compact and its Ten Principles

The Basel Institute on Governance is pleased to confirm its commitment to the United Nations Global Compact (UNGC) and its Ten Principles.

The Basel Institute is an independent and international non-profit organisation working around the world to strengthen governance and counter corruption and other financial crimes in fulfilment of the UNGC's 10th Principle on Anti-Corruption.

The Basel Institute has partnered with the UNGC since 2013 in support of our shared goal of combating corruption. This is the Basel Institute's fourth Communication on Engagement. Below, we describe activities and outcomes of our efforts in support of the UNGC Principles from October 2019 through September 2021.

Our actions and impact

Our joint support for anti-corruption Collective Action is a key point of collaboration between the Basel Institute, UNGC, its Local Networks and other partners in the public and private sectors. We continue to maintain and enhance the B20 Collective Action Hub website, a free online resource centre on Collective Action available at collective-action.com.

The site includes a database of Collective Action 280+ initiatives, relevant publications and a growing set of thematic areas on Collective Action tools such as Integrity Pacts, High Level Reporting Mechanisms, and local certification initiatives designed to ease due diligence burdens for multinational companies and SMEs alike.

Among the content and inspiration on the B20 Collective Action Hub is the UNGC's 2021 publication, [Uniting against Corruption: A Playbook on Anti-Corruption Collective Action](#), to which we were pleased to contribute our feedback and expertise. The knowledge-sharing is very much a two-way process: for example, members of the UN Global Compact and selected chapters also participated actively in the Basel Institute's [Collective Action workshop series](#)

in July 2020. This led to the development of a collection of insights on how to build a strong and successful Collective Action initiative, sustain it and measure its success.

Though focused on the UNGC 10th Principle, much of our Collective Action work connects strongly with other UNGC Principles. A standout example is our facilitation of 10 private-sector roundtables in 2021, with 50 participating companies working together to seek [synergies in human rights and anti-corruption compliance](#).

We continually raise awareness of the UNGC as a prime example of Collective Action among the private sector-led initiatives that we facilitate and at relevant conferences around the world. These have included:

- In July 2020, we spoke at a virtual event of the Global Compact Brazil on Human Rights and Anti-Corruption.
- Also in July 2020, we spoke at a pre-evening dialogue of the Global Compact Network Switzerland and Liechtenstein on anti-corruption and human rights, focusing on effective risk management for SMEs.
- In October 2020, we spoke at the second meeting of the Global Compact Brazil Working Group on Collective Action.
- A month later in November 2020, we attended the UNGC's 20th Anniversary Celebration.
- In March 2021, we spoke on a panel on Collective Action for the [World Bank Group/International Monetary Fund Civil Society Policy Forum](#) organised by the Global Compact Network Brazil and moderated by UNGC.
- In June 2021, we attended the UNGC Summit.
- In August 2021, we spoke at a meeting of the UNGC Collective Action Working Group on implementing Collective Action.

The work of the UNGC also features in, and supports, our efforts to promote anti-corruption Collective Action as a global compliance norm – in other words, a go-to tool for the public and private sectors when seeking to establish a clean and fair business environment. For example, we feature the UNGC's endorsement of Collective Action and active facilitation of initiatives in a March 2020 research report on [Mainstreaming Collective Action: Establishing a Baseline](#).

We also embed the Global Compact principles in our regular compliance-related training courses for the private sector and other actors, and in our external communications generally. For example, in February 2021 we published a blog celebrating that [Collective Action is at the heart of the new UNGC Strategy](#) 2021–23. In May 2021, in celebration of

the International Day of Living Together in Peace, we contributed a guest article to the Global Compact Network Switzerland and Liechtenstein website on [Contributing to peace and good governance through Collective Action](#).

As an active member of the Global Compact Network Switzerland and Liechtenstein, we were delighted to attend the virtual General Assembly for 2020 and 2021 and contribute to the deliberations on the Local Network's future priorities.

The Basel Institute has a monitoring and evaluation plan that tracks the impact of our activities promoting Collective Action as a tool for preventing corruption.

Feedback we have received from our outreach and training efforts indicates that our technical advice has had a positive contribution in equipping our partners to increase their effectiveness in providing advice on Collective Action, which in turn contributes to the prevention of corruption and improvement of business environments in the contexts where they operate.

All of us at the Basel Institute remain steadfast in our commitment to contribute to the global fight against corruption. We very much look forward to continued collaboration with the UNGC and to promoting its Ten Principles in the years to come.

A handwritten signature in blue ink, appearing to read 'Gretta Fenner', with a stylized flourish at the end.

Gretta Fenner
Managing Director, Basel Institute on Governance